

IAP15 Rec'd PCT/PTO 19 JUN 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 29610/CDT499
INTERNATIONAL APPLICATION NO. PCT/GB2004/005392	INTERNATIONAL FILING DATE 20 December 2004	U.S. APPLICATION NO. (if known, specify CFR 1.5) 107582677
PRIORITY DATE CLAIMED 19 December 2003		

TITLE OF INVENTION
Optical Device Comprising A Charge Transport Layer Of Insoluble Organic Material And Method For The Production
Thereof

APPLICANT(S) FOR DO/EO/US
Nalinkumar L. Patel, Natasha M. Conway, Mark Leadbeater, and Ilaria Grizzi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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Trademark Office: U.S. DEPARTMENT OF COMMERCE
Form Number

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.		U. S. Patent and Trademark Office, U.S. Department of Commerce INTERNATIONAL APPLICATION NO. PCT/GB2004/005392	
U. S. APPLICATION NO. (If more than one, see 37 CFR 1.5)		ATTORNEY'S DOCKET NUMBER 29610/CDT499	
107585077			
20. <input checked="" type="checkbox"/> Other items or information: International Search Report; return receipt postal card		CALCULATIONS PTO USEONLY	
The following fees have been submitted		\$ 300	\$ 300.00
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....			
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). All other situations		\$ 0 \$200	\$ 200.00
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB. All other situations		\$ 0 \$100 \$400 \$500	\$ 400.00
TOTAL OF 21, 22 and 23 =		\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
- 100 =	/50 =	x \$250.00	\$
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	18 - 20 =	0	50.00
Independent claims	2 - 3 =	0	x 200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	0
		TOTAL OF ABOVE CALCULATIONS =	\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			
		SUBTOTAL =	\$ 900.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$	
		TOTAL NATIONAL FEE =	\$ 900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
		TOTAL FEES ENCLOSED =	\$ 900.00
		Amount to be refunded:	\$
		Amount to be charged	\$

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- a. A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038
- NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

SEND ALL CORRESPONDENCE TO:

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6300 Sears Tower
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CUSTOMER NUMBER: 04743

Andrew M. Lawrence

SIGNATURE

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NAME

46,130

REGISTRATION NUMBER

10/583677
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PATENT
Attorney Docket No. 29610/CDT499

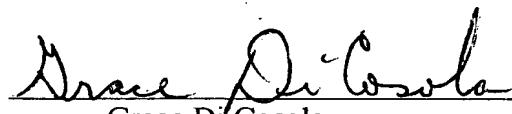
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nalinkumar L. Patel *et al.*) For: Optical Device Comprising A Charge
Serial No.: To be assigned) Transport Layer Of Insoluble Organic Material
Filed: December 20, 2004) And Method For The Production Thereof
(U.S. National Phase of)) Group Art Unit: To be assigned
PCT/GB2004/005392)) Examiner: To be assigned

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that the attached five items (a) through (e) are being deposited with the United States Postal Service on **June 19, 2006**, in a postage-paid envelope addressed to Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL 995 015 546 US

- (a) Transmittal letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. §371;
- (b) Application, including 23 sheets of description, 3 sheets of claims, and 1 sheet of drawings;
- (c) Preliminary Amendment;
- (d) Application Data Sheet; and
- (e) International Search Report.


Grace Di Cosola